

# Corporate Risk Register 15 December 2011

The inclusion of the previous and current risk matrices shows the effect that the control strategies have had on risk ratings since the last quarterly update. A target risk matrix shows the risk level that the Council is aiming to achieve from the successful implementation of the control strategies and the date for when this will be achieved.

CARLISLE  
CITY COUNCIL



www.carlisle.gov.uk

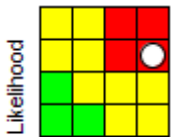
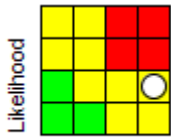
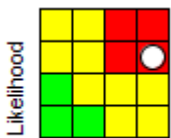
<b>New Risk Redundancy payment provision</b>	<b>There is a risk of insufficient redundancy payment provision. The Council is required to make a further £2-£2.5m in service efficiencies. Trend analysis suggests that, ignoring past service management re-structures, the cost of delivering these efficiencies will be approx £1-£1.25m in redundancy/pension strain payments.</b>
--	--

Present Matrix	Review Date	Present Risk Scores	Current Action Status/Control Strategy	Target Risk Matrix
	15-Dec-2011	12	<ul style="list-style-type: none"> <li>- Enhanced redeployment initiatives.</li> <li>- Leaving new posts created by service restructures vacant until redundancy costs of restructure are met.</li> <li>- Apply to Government (Treasury/Dept of Communities and Local Government) for capitalisation direction (statutory redundancy costs).</li> </ul>	

<b>Current Impact Description</b>	Critical
<b>Current Likelihood Description</b>	Reasonably probable
<b>Risk Score</b>	12

<b>Target Risk Date</b>	31-Mar-2013
<b>Target Risk Score</b>	4
<b>Managed By</b>	Peter Mason
<b>Portfolio Holder</b>	Councillor J Mallinson

<b>Limited Resources</b>	<b>There is a risk that scarce resources are not directed to priority areas within the Council's key objectives of local environment and local economy</b>
--------------------------	--

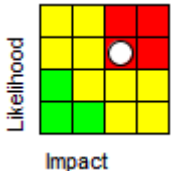
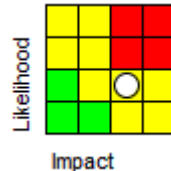
Present and Previous Matrices	Review Dates	Present and Previous Risk Scores	Current Action Status/Control Strategy	Target Risk Matrix
 <p>Likelihood</p> <p>Impact</p>	15-Dec-2011	12	<p>To make sure that the Transformation Programme, and the Medium Term Financial Plan and the Annual Budget are coherently focussed toward the appropriate allocation of resources to deliver the organisation's key objectives. It is anticipated that it will take a further <i>3 budget cycles</i> to be confident in delivering the required efficiencies. Two year (2013/14 to 2015/16 budgets) RSG settlement is in line with Council projections for transformational savings requirements.</p> <p>Further savings of £600,000 have been identified by SMT and will be implemented by 1st April 2012. <i>A further £600,000 is required for 1<sup>st</sup> April 2013, £600,000 for 1<sup>st</sup> April 2014 and £537,000 for 1<sup>st</sup> April 2015.</i></p>	 <p>Likelihood</p> <p>Impact</p>
 <p>Likelihood</p> <p>Impact</p>	22-Sept-2011	12		

<b>Current Impact Description</b>	Critical
<b>Current Likelihood Description</b>	Reasonably probable
<b>Risk Score</b>	12

<b>Target Risk Date</b>	31-Mar-2015
<b>Target Risk Score</b>	8

<b>Managed By</b>	Jason Gooding
<b>Portfolio Holder</b>	Councillor J Mallinson

<b>Reinstated Risk Sickness Absence</b>	<b>There is a risk that excessive sickness absence impacts on the Council's capacity to deliver services and its reputation for delivering value for money.</b>
---	---

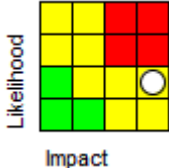
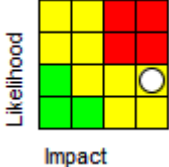
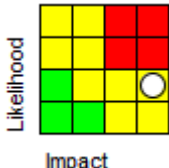
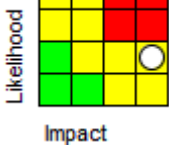
Present Matrix	Review Date	Present Risk Scores	Current Action Status/Control Strategy	Target Risk Matrix
	15-Dec-2011	9	<ul style="list-style-type: none"> <li>- Lean Systems Review on sickness monitoring procedures including return to work.</li> <li>- More robust reporting on sickness statistics.</li> </ul>	

<b>Current Impact Description</b>	High
<b>Current Likelihood Description</b>	Reasonably probable
<b>Risk Score</b>	9

<b>Target Risk Date</b>	31-03-2013
<b>Target Risk Score</b>	6

<b>Managed By</b>	Peter Mason
<b>Portfolio Holder</b>	Councillor John Mallinson

**Provision of Disabled Facility Grants (DFGs)** The level of grant in 2011/12 is £663,000. Riverside has confirmed full financial year contribution as £300,000. Cumbria County Council (Health to Social Care) has committed a contribution countywide and Carlisle is estimated to receive £150,000. In addition, grants will be approved for projects to the value of approximately £200,000 to be delivered after 1 April 2012. Provision will need to be made for these works in 2012/13 budgets. There is a litigation and reputational risk to the Council if the 6 month grant claim timeframe is exceeded.


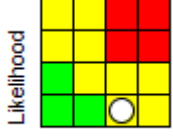
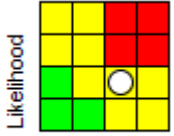
Present and Previous Matrices	Review Dates	Present and Previous Risk Scores	Current Action Status/Control Strategy	Target Risk Matrix
	15-Dec-2011	8	<ul style="list-style-type: none"> <li>- Future procurement will be through Chest Framework.</li> <li>- Strengthened assessment function and joint work with Occupational Therapists.</li> <li>- Ensure minimum specification for applications is appropriate and consistent.</li> </ul>	
	22-Sep-2011	8	<ul style="list-style-type: none"> <li>- Improved data management to forecast and control demand.</li> <li>- Improved internal 'triage' process.</li> <li>- Improved understanding of funding support.</li> <li>- <i>Consideration of the outcomes of the scrutiny review of DFGs.</i></li> </ul>	

<b>Current Impact Description</b>	Critical
<b>Current Likelihood Description</b>	Remote
<b>Risk Score</b>	8

<b>Target Risk Date</b>	01-Nov-2011
<b>Target Risk Score</b>	8

<b>Managed By</b>	Keith Gerrard
<b>Portfolio Holder</b>	Councillor Bloxham

<b>Vision for the City</b>	<b>There is a risk that there is no clear consensus/ vision for the City's Economy and no agreed strategy with Partners.</b>
----------------------------	--

<b>Present and Previous Matrices</b>	<b>Review Dates</b>	<b>Present and Previous Risk Scores</b>	<b>Current Action Status/Control Strategy</b>	<b>Target Risk Matrix</b>
 <p>Likelihood</p> <p>Impact</p>	15-Dec-2011	6	<p><i>The Carlisle Economic Partnership (CEP) is now regularly meeting and developing a new action plan for the city region. This work is being informed by a new study into the economic potential of Carlisle. Once completed this will provide clarity for future/longer term action planning. The CEP is also working on marketing Carlisle, a superfast broadband project and supporting the Business Improvement District (BID).</i></p>	 <p>Likelihood</p> <p>Impact</p>
 <p>Likelihood</p> <p>Impact</p>	26-Sep-2011	6		

<b>Current Impact Description</b>	High
<b>Current Likelihood Description</b>	Remote
<b>Risk Score</b>	6

<b>Target Risk Date</b>	31-Mar-2011
<b>Target Risk Score</b>	3

<b>Managed By</b>	Darren Crossley
<b>Portfolio Holder</b>	Councillor Mitchelson

<b>Community involvement in decision making</b>	<b>There is a risk that communities are not sufficiently engaged in the Transformation programme.</b>
---	---

Present and Previous Matrices	Review Dates	Present and Previous Risk Scores	Current Action Status/Control Strategy	Target Risk Matrix
	15-Dec-2011	4	Engagement activity is now taking place with a range of community and charitable organisations who are responding to the current financial issues and assisting with future Council grant policies and budget preparations. The Council is also working with other Local Strategic Partners, <i>Parish Councils and the private sector</i> to establish other mechanisms for engaging local communities e.g. the review of neighbourhood forums. <i>The work is continuing to provide further engagement with community organisations.</i>	
	26-Sep-2011	4		

<b>Current Impact Description</b>	Marginal
<b>Current Likelihood Description</b>	Remote
<b>Risk Score</b>	4

<b>Target Risk Date</b>	31-Mar-2011
<b>Target Risk Score</b>	2

<b>Managed By</b>	Keith Gerrard
<b>Portfolio Holder</b>	Councillor Geddes